

FORM PTO-1449 (REV. 2-82)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Atty. Docket No. 1103179-0009	Serial No. 10/066,475
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Applicant: Edward Colles Nevill	
		Filing Date: February 1, 2002	Group: TBA

UNITED STATES PATENT DOCUMENTS

*Examiner Initial	Document No.							Date	Name	Class	Sub-Class	Filing Date, if Appropriate
	4	5	1	1	9	6	6	Apr. 16, 1985	Hamada	364	200	
	4	5	1	4	8	0	3	Apr. 30, 1985	Agnew et al.	364	200	
	4	6	9	5	9	4	3	Sep. 22, 1987	Keeley et al.	364	200	
	4	8	4	9	9	2	2	Jul. 18, 1989	Riolfo	364	725	
	4	8	7	0	6	1	4	Sep. 26, 1989	Quastse	364	900	
	5	1	4	8	5	3	6	Sep. 15, 1992	Witek et al.	395	425	
	5	4	1	6	7	3	9	May 16, 1995	Wong	365	189.01	
	5	6	0	0	8	4	5	Feb. 4, 1997	Gilson	395	800	
	5	6	0	6	7	1	4	Feb. 25, 1997	Intrater et al.	395	800	
	5	6	3	0	1	5	3	May 13, 1997	Intrater et al.	395	800	
	5	6	6	4	1	4	7	Sep. 2, 1997	Mayfield	711	137	
	5	6	6	6	3	5	5	Sep. 9, 1997	Huah et al.	370	311	
	5	6	9	2	1	5	2	Nov. 25, 1997	Cohen et al.	395	467	
	5	7	8	4	6	3	6	Jul. 21, 1998	Rupp	395	800.37	
	5	9	6	8	1	6	1	Oct. 19, 1999	Southgate	712	37	
	5	9	7	0	2	5	4	Oct. 19, 1999	Cooke et al.	395	800.37	

FOREIGN PATENT DOCUMENTS

*Examiner Initial	Document No.							Date	Country	Class	Sub-Class	Translation Yes No	
			9	7	2	4	6	6	0	July 10, 1997	WO		

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

	Clark et al., IEEE Transactions on Computers, Vol. 30, (10) 1981, "Memory System of a High-Performance Personal Computer", 715-722

Examiner:

Date Considered:

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		Filing Date: February 1, 2002	Group: 2758

UNITED STATES PATENT DOCUMENTS

*Examiner Initial	Document No.							Date	Name	Class	Sub-Class	Filing Date, if Appropriate
	4	2	1	7	6	3	8	Aug. 12, 1980	Namimoto et al.	364	200	
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	4	3	3	8	6	6	3	7/1982	Strecker et al.	712	228	
	4	3	4	6	4	3	7	Aug. 24, 1982	Blahut et al.	364	200	
	4	3	9	8	2	4	3	Aug. 9, 1983	Holberger et al.	364	200	
	4	4	3	4	4	5	9	Feb. 28, 1984	Holland et al.	364	200	
	4	4	3	4	4	6	1	Feb. 28, 1984	Puhl	364	200	
	4	4	5	9	6	5	7	June 10, 1984	Murao	364	200	
	4	5	5	4	6	2	7	Nov. 19, 1985	Holland et al.	364	200	
	4	6	8	5	0	8	0	Aug. 4, 1987	Rhodes, Jr. et al.	364	900	
	4	8	3	9	7	9	7	June 13, 1989	Katori et al.	364	200	
	4	8	7	6	6	3	9	10/1989	Mensch, Jr.	395	500.48	
	4	9	0	5	1	9	6	Feb. 27, 1990	Kirrmann	365	200	
	4	9	3	0	0	6	8	May 29, 1990	Katayose et al.	364	200	
	4	9	3	1	9	8	9	June 5, 1990	Rhodes, Jr. et al.	364	900	
	5	0	7	7	6	5	9	Dec. 31, 1991	Nagata	395	375	
	5	1	1	5	5	0	0	May 19, 1992	Larsen	395	425	
	5	1	8	7	7	9	1	Feb. 16, 1993	Baum	395	725	
	5	1	9	3	1	5	8	3/1993	Kinney et al.	395	591	
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	5	3	0	3	3	7	8	April 12, 1994	Cohen	395	700	
	5	3	2	7	5	6	6	7/1994	Forsyth	710	260	
	5	3	3	5	3	3	1	Aug. 2, 1994	Murao et al.	395	375	

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		Applicant: Edward Colles Nevill	
		Filing Date: February 1, 2002	Group: 2758

INFORMATION DISCLOSURE STATEMENT
BY APPLICANT

UNITED STATES PATENT DOCUMENTS

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	5	5	6	8	6	4	6	Oct. 22, 1996	Jaggar	395	800	
	5	5	7	4	9	2	8	11/1996	White et al.	395	800.23	
	5	5	8	3	8	0	4	Dec. 10, 1996	Seal et al.	364	736	
	5	5	9	8	5	4	6	1/1997	Blomgren	395	385	
	5	6	3	8	5	2	5	6/1998	Hammond et al.	395	385	
	5	6	3	0	0	8	3	May 13, 1997	Carbine et al.	395	388	
	5	6	4	2	5	1	6	June 24, 1997	Hedayat et al.	395	733	
	5	6	7	1	4	2	2	Sept. 23, 1997	Datta	395	734	
	5	6	8	9	6	7	2	Nov. 18, 1997	Witt et al.	395	329	
	5	7	0	1	4	9	3	Dec. 23, 1997	Jaggar	395	734	
	5	7	4	0	4	6	1	April 14, 1998	Jaggar	395	800	
	5	7	5	8	1	1	5	May 26, 1998	Nevill	395	385	
	5	7	9	6	9	7	3	Aug. 18, 1998	Witt et al.	395	384	

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		Filing Date: February 1, 2002	Group: 2758

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*Examiner Initial	Document No.									Date	Country	Class	Sub-Class	Translation Yes No	
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			1	6	9	5	6	5		7/1985	EPO				
			1	9	9	1	7	3		10/1986	EPO				
		2	0	1	6	7	5	5		9/1979	UK				
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	5	8	-	1	5	5	4	5		Sept. 16, 1983	JP				
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		6	-	8	3	6	1	5		March 25, 1994	JP				
	6	2	-	1	5	1	9	3		July 6, 1987	JP				
	6	2	-	2	5	3	3	4		February 3, 1987	JP				
	6	2	-	2	6	2	1	4		Nov. 14, 1987	JP				
	6	3	1	1	1	5	3	3		May 16, 1988	JP				
	7	-	2	8	1	8	9	0		October 27, 1995	JP				
			7	5	8	4	6	4		Apr. 15, 1998	EP				

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OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

	Order Construing Disputed Claims and Terms, <i>ARM Limited v. picoTurbo, Inc.</i> , Case No. C-00-00957 (N.D. Calif., June 15, 2001)(Wilken, J.).
	Defendant picoTurbo's Civil L.R. 16-9(b)(1)-(4) Response Chart Concerning U.S. Patent No. 6,021,265, <i>ARM Limited v. picoTurbo, Inc.</i> , Case No. C-00-00957 (N.D. Calif., December 22, 2000).
	Initial Disclosure by Defendant picoTurbo, Inc. of Prior Art Under Local Rule 16-7, Case C00-00957CW, August 14, 2000
	Defendant picoTurbo's Civil L.R. 16-9(b)(1)-(4) Supplemental Responses U.S. Patent Nos. 5,740,461, 5,568,646, 5,758,115, 6,021,265, and 5,583,804, May 17, 2001.
	Second Supplement to Defendant picoTurbo's Civil L.R. 16-9(b)(1) Response Charts, June 6, 2001.
	picoTurbo's Third Supplemental Response Charts, August 31, 2001.
	Joint Designation of Disputed Terms for Claim Construction, February 5, 2001.
	Plaintiff ARM's Opening Brief on Claim Construction, March 1, 2001.
	Defendant picoTurbo's Response Brief on Claim Construction, March 15, 2001.
	Plaintiff ARM's Reply Brief on Claim Construction, March 22, 2001.
	Expert Report: Professor Alan Jay Smith, August 31, 2001.
	Rebuttal Expert Report of Dr. Earl E. Swartzlander, Jr., September 20, 2001.
	Plaintiff ARM's Response to picoTurbo, Inc.'s First Set of Interrogatories to ARM, Limited, August 14, 2000 (Interrogatories 1 and 2 only).
	Defendant picoTurbo, Inc.'s Response to Plaintiff ARM's Second Set of Interrogatories, August 18, 2000.
	Defendant picoTurbo's Motion for Summary Judgment on Issues of Patent Invalidity, October 23, 2001.
	Memorandum of Points and Authorities in Support of Plaintiff ARM's Opposition and Cross Motion for Summary Judgment that the Patents-in-Suit are not Invalid, November 2, 2001.
	Plaintiff ARM's Reply in Support of its Opposition and Cross Motion for Summary Judgment that the Patents-in-Suit are not Invalid.
	picoTurbo's Reply in Support of Motion for Summary Judgment on Invalidity and Opposition to ARM's Cross-Motion, November 9, 2001.
	Declaration of Professor Alan Jay Smith, October 17, 2001.
	Supplemental Declaration of Professor Alan Jay Smith, November 8, 2001.
	Declaration of Dr. Earl E. Swartzlander, Jr., November 1, 2001
	"Instruction-Processing Optimization Techniques for VSLI Microprocessors", by John David Bunda, Ph.D. Dissertation, University of Texas at Austin, May 1993

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	4	5	1	1	9	6	6	Apr. 16, 1985	Hamada	364	200	
	4	5	1	4	8	0	3	Apr. 30, 1985	Agnew et al.	364	200	
	4	6	9	5	9	4	3	Sep. 22, 1987	Keeley et al.	364	200	
	4	8	4	9	9	2	2	Jul. 18, 1989	Riolfo	364	725	
	4	8	7	0	6	1	4	Sep. 26, 1989	Quastse	364	900	
	5	1	4	8	5	3	6	Sep. 15, 1992	Witek et al.	395	425	
	5	4	1	6	7	3	9	May 16, 1995	Wong	365	189.01	
	5	6	0	0	8	4	5	Feb. 4, 1997	Gilson	395	800	
	5	6	0	6	7	1	4	Feb. 25, 1997	Intrater et al.	395	800	
	5	6	3	0	1	5	3	May 13, 1997	Intrater et al.	395	800	
	5	6	6	4	1	4	7	Sep. 2, 1997	Mayfield	711	137	
	5	6	6	6	3	5	5	Sep. 9, 1997	Huah et al.	370	311	
	5	6	9	2	1	5	2	Nov. 25, 1997	Cohen et al.	395	467	
	5	7	8	4	6	3	6	Jul. 21, 1998	Rupp	395	800.37	
	5	9	6	8	1	6	1	Oct. 19, 1999	Southgate	712	37	
	5	9	7	0	2	5	4	Oct. 19, 1999	Cooke et al.	395	800.37	

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			9	7	2	4	6	6	0	July 10, 1997	WO		


OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

	Clark et al., IEEE Transactions on Computers, Vol. 30, (10) 1981, "Memory System of a High-Performance Personal Computer", 715-722

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1111	4	2	1	7	6	3	8	Aug. 12, 1980	Namimoto et al.	364	200	
	4	2	7	4	1	3	8	June 16, 1981	Shimokawa	364	200	
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	4	4	5	9	6	5	7	June 10, 1984	Murao	364	200	
	4	5	5	4	6	2	7	Nov. 19, 1985	Holland et al.	364	200	
	4	6	8	5	0	8	0	Aug. 4, 1987	Rhodes, Jr. et al.	364	900	
	4	8	3	9	7	9	7	June 13, 1989	Katori et al.	364	200	
	4	8	7	6	6	3	9	10/1989	Mensch, Jr.	395	500.48	
	4	9	0	5	1	9	6	Feb. 27, 1990	Kirrmann	365	200	
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	5	1	8	7	7	9	1	Feb. 16, 1993	Baum	395	725	
	5	1	9	3	1	5	8	3/1993	Kinney et al.	395	591	
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	5	3	2	7	5	6	6	7/1994	Forsyth	710	260	
1111	5	3	3	5	3	3	1	Aug. 2, 1994	Murao et al.	395	375	

Examiner: *Hermit K. K. K.*

Date Considered: *6/10/04*

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1142	5	3	5	3	4	2	0	Oct. 4, 1994	Zaidi	395	375	
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	5	3	9	2	4	0	8	2/1995	Fitch	711	202	
	5	4	0	4	4	7	2	April 4, 1995	Kurosawa et al.	395	375	
	5	4	2	0	9	9	2	5/1995	Killian et al.	395	500.48	
	5	4	7	5	8	2	4	12/1995	Grochowski et al.	712	206	
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	5	6	3	8	5	2	5	6/1998	Hammond et al.	395	385	
	5	6	3	0	0	8	3	May 13, 1997	Carbine et al.	395	388	
	5	6	4	2	5	1	6	June 24, 1997	Hedayat et al.	395	733	
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	5	7	0	1	4	9	3	Dec. 23, 1997	Jaggar	395	734	
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Examiner: *Kenneth L. Linder*

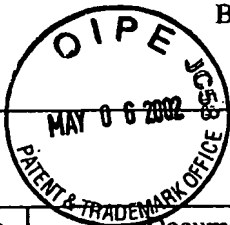
Date Considered: 6/10/04

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		Filing Date: February 1, 2002	Group: 2758 241



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*Examine r Initial	Document No.	Date	Country	Class	Sub-Class	Translation Yes No
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	6 - 8 3 6 1 5	March 25, 1994	JP			
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	6 2 - 2 5 3 3 4	February 3, 1987	JP			
	6 2 - 2 6 2 1 4 6	Nov. 14, 1987	JP			
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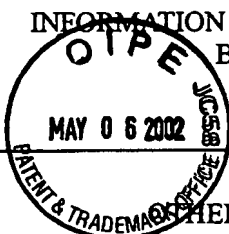
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Examiner: *Hannu Lulle*

Date Considered: 6/10/04

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FORM PTO-1449 (REV. 2-82)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Atty. Docket No. 1103179-0009	Serial No. 10/066,475
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Applicant: Edward Colles Nevill	
		Filing Date: February 1, 2002	Group: 2758 2141



OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, Etc.)

1146	Order Construing Disputed Claims and Terms, <i>ARM Limited v. picoTurbo, Inc.</i> , Case No. C-00-00957 (N.D. Calif., June 15, 2001)(Wilken, J.).
	Defendant picoTurbo's Civil L.R. 16-9(b)(1)-(4) Response Chart Concerning U.S. Patent No. 6,021,265, <i>ARM Limited v. picoTurbo, Inc.</i> , Case No. C-00-00957 (N.D. Calif., December 22, 2000).
	Initial Disclosure by Defendant picoTurbo, Inc. of Prior Art Under Local Rule 16-7, Case C00-00957CW, August 14, 2000
	Defendant picoTurbo's Civil L.R. 16-9(b)(1)-(4) Supplemental Responses U.S. Patent Nos. 5,740,461, 5,568,646, 5,758,115, 6,021,265, and 5,583,804, May 17, 2001.
	Second Supplement to Defendant picoTurbo's Civil L.R. 16-9(b)(1) Response Charts, June 6, 2001.
	picoTurbo's Third Supplemental Response Charts, August 31, 2001.
	Joint Designation of Disputed Terms for Claim Construction, February 5, 2001.
	Plaintiff ARM's Opening Brief on Claim Construction, March 1, 2001.
	Defendant picoTurbo's Response Brief on Claim Construction, March 15, 2001.
	Plaintiff ARM's Reply Brief on Claim Construction, March 22, 2001.
	Expert Report: Professor Alan Jay Smith, August 31, 2001.
	Rebuttal Expert Report of Dr. Earl E. Swartzlander, Jr., September 20, 2001.
	Plaintiff ARM's Response to picoTurbo, Inc.'s First Set of Interrogatories to ARM, Limited, August 14, 2000 (Interrogatories 1 and 2 only).
	Defendant picoTurbo, Inc.'s Response to Plaintiff ARM's Second Set of Interrogatories, August 18, 2000.
	Defendant picoTurbo's Motion for Summary Judgment on Issues of Patent Invalidity, October 23, 2001.
	Memorandum of Points and Authorities in Support of Plaintiff ARM's Opposition and Cross Motion for Summary Judgment that the Patents-in-Suit are not Invalid, November 2, 2001.
	Plaintiff ARM's Reply in Support of its Opposition and Cross Motion for Summary Judgment that the Patents-in-Suit are not Invalid.
	picoTurbo's Reply in Support of Motion for Summary Judgment on Invalidity and Opposition to ARM's Cross-Motion, November 9, 2001.
	Declaration of Professor Alan Jay Smith, October 17, 2001.
	Supplemental Declaration of Professor Alan Jay Smith, November 8, 2001.
	Declaration of Dr. Earl E. Swartzlander, Jr., November 1, 2001
1146	"Instruction-Processing Optimization Techniques for VSLI Microprocessors", by John David Bunda, Ph.D. Dissertation, University of Texas at Austin, May 1993

Examiner:

Hanna Lute

Date Considered:

6/10/04

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		Filing Date: February 1, 2002	Group: 2758

UNITED STATES PATENT DOCUMENTS

*Examiner Initial	Document No.							Date	Name	Class	Sub-Class	Filing Date, if Appropriate
	4	2	1	7	6	3	8	Aug. 12, 1980	Namimoto et al.	364	200	
	4	2	7	4	1	3	8	June 16, 1981	Shimokawa	364	200	
	4	3	3	8	6	6	3	7/1982	Strecker et al.	712	228	
	4	3	4	6	4	3	7	Aug. 24, 1982	Blahut et al.	364	200	
	4	3	9	8	2	4	3	Aug. 9, 1983	Holberger et al.	364	200	
	4	4	3	4	4	5	9	Feb. 28, 1984	Holland et al.	364	200	
	4	4	3	4	4	6	1	Feb. 28, 1984	Puhl	364	200	
	4	4	5	9	6	5	7	June 10, 1984	Murao	364	200	
	4	5	5	4	6	2	7	Nov. 19, 1985	Holland et al.	364	200	
	4	6	8	5	0	8	0	Aug. 4, 1987	Rhodes, Jr. et al.	364	900	
	4	8	3	9	7	9	7	June 13, 1989	Katori et al.	364	200	
	4	8	7	6	6	3	9	10/1989	Mensch, Jr.	395	500.48	
	4	9	0	5	1	9	6	Feb. 27, 1990	Kirrmann	365	200	
	4	9	3	0	0	6	8	May 29, 1990	Katayose et al.	364	200	
	4	9	3	1	9	8	9	June 5, 1990	Rhodes, Jr. et al.	364	900	
	5	0	7	7	6	5	9	Dec. 31, 1991	Nagata	395	375	
	5	1	1	5	5	0	0	May 19, 1992	Larsen	395	425	
	5	1	8	7	7	9	1	Feb. 16, 1993	Baum	395	725	
	5	1	9	3	1	5	8	3/1993	Kinney et al.	395	591	
	5	2	7	6	8	2	4	Jan. 4, 1994	Skruhak et al.	395	375	
	5	3	0	3	3	7	8	April 12, 1994	Cohen	395	700	
	5	3	2	7	5	6	6	7/1994	Forsyth	710	260	
	5	3	3	5	3	3	1	Aug. 2, 1994	Murao et al.	395	375	

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UNITED STATES PATENT DOCUMENTS

	5	3	5	3	4	2	0	Oct. 4, 1994	Zaidi	395	375	
	5	3	6	3	3	2	2	Nov. 8, 1994	Gergen et al.	364	754	
	5	3	8	6	5	6	3	Jan. 31, 1995	Thomas	395	650	
	5	3	9	2	4	0	8	2/1995	Fitch	711	202	
	5	4	0	4	4	7	2	April 4, 1995	Kurosawa et al.	395	375	
	5	4	2	0	9	9	2	5/1995	Killian et al.	395	500.48	
	5	4	7	5	8	2	4	12/1995	Grochowski et al.	712	206	
	5	4	8	1	6	8	4	1/1996	Richter et al.	712	212	
	5	4	8	1	6	9	3	1/1996	Blomgren et al.	712	225	
	5	5	2	4	2	1	1	6/1996	Woods et al.	709	220	
	5	5	4	2	0	5	9	July 30, 1996	Blomgren	395	375	
	5	5	6	8	6	4	6	10/1996	Jagger	395	385	
	5	5	6	1	8	1	0	Oct. 1, 1996	Ohtomo	395	800	
	5	5	6	8	6	4	6	Oct. 22, 1996	Jaggar	395	800	
	5	5	7	4	9	2	8	11/1996	White et al.	395	800.23	
	5	5	8	3	8	0	4	Dec. 10, 1996	Seal et al.	364	736	
	5	5	9	8	5	4	6	1/1997	Blomgren	395	385	
	5	6	3	8	5	2	5	6/1998	Hammond et al.	395	385	
	5	6	3	0	0	8	3	May 13, 1997	Carbine et al.	395	388	
	5	6	4	2	5	1	6	June 24, 1997	Hedayat et al.	395	733	
	5	6	7	1	4	2	2	Sept. 23, 1997	Datta	395	734	
	5	6	8	9	6	7	2	Nov. 18, 1997	Witt et al.	395	329	
	5	7	0	1	4	9	3	Dec. 23, 1997	Jaggar	395	734	
	5	7	4	0	4	6	1	April 14, 1998	Jaggar	395	800	
	5	7	5	8	1	1	5	May 26, 1998	Nevill	395	385	
	5	7	9	6	9	7	3	Aug. 18, 1998	Witt et al.	395	384	

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FOREIGN PATENT DOCUMENTS

*Examiner Initial	Document No.								Date	Country	Class	Sub-Class	Translation	
													Yes	No
			4	-	7	6	6	2	6	March 11, 1992	JP			
				3	2	4	3	0	8	July 19, 1989	EPO			
			2	2	8	4	4	9	2	June 7, 1995	UK			
			1	0	0	7	1	2	9	January 11, 1989	JP			
				1	0	9	5	6	7	10/1983	EPO			
				1	6	9	5	6	5	7/1985	EPO			
				1	9	9	1	7	3	10/1986	EPO			
			2	0	1	6	7	5	5	9/1979	UK			
				3	0	6	9	2	0	3/1989	EPO			
				3	2	4	3	0	8	7/1989	EPO			
		5	2	-	4	0	8	2	6	Oct. 14, 1977	JP			X
		5	2	-	6	8	3	4	0	July 6, 1997	JP			
		5	-	2	6	5	7	5	1	Oct. 15, 1993	JP			
			5	8	-	3	0	4	0	6/1981	JP			
	5	8	-	1	5	5	4	5	7	Sept. 16, 1983	JP			
			6	0	8	3	6	1	5	March 25, 1994	JP			
			6	-	8	3	6	1	5	March 25, 1994	JP			
	6	2	-	1	5	1	9	3	8	July 6, 1987	JP			
		6	2	-	2	5	3	3	4	February 3, 1987	JP			
	6	2	-	2	6	2	1	4	6	Nov. 14, 1987	JP			
		6	3	1	1	1	5	3	3	May 16, 1988	JP			
		7	-	2	8	1	8	9	0	October 27, 1995	JP			
				7	5	8	4	6	4	Apr. 15, 1998	EP			

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